

Tax Measures for International Financial Centres (IFC)
International financial transactions

Securities advising or portfolio management	From: <input style="width: 80%;" type="text"/> yyyy/mm/dd Specific taxation year or part thereof	To: <input style="width: 80%;" type="text"/> yyyy/mm/dd IFC qualification certificate number
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A) ☐ Check this box if the IFC has carried out activities relating to securities advising or securities portfolio management.

B) Specify the categories of activities that were carried out:

☐ Securities advising

☐ Portfolio management

C) Check the sub-categories of activity carried out among the following:

Securities advising for an individual who:	Portfolio management for a person who:
<input type="checkbox"/> was not residing in Canada	<input type="checkbox"/> was not residing in Canada
<input type="checkbox"/> was residing in Canada, in respect of qualified securities	<input type="checkbox"/> was residing in Canada, in respect of qualified securities
<input type="checkbox"/> was residing in Canada, in respect of a securities portfolio held for the purpose of being subject exclusively or almost exclusively to foreign exposure	<input type="checkbox"/> was residing in Canada, in respect of a securities portfolio held for the purpose of being subject exclusively or almost exclusively to foreign exposure

D) Check the activities related to qualified securities that apply:

Qualified securities	Securities advising	Portfolio management
Securities issued by a Canadian corporation, if the transaction to acquire these securities was conducted on an organized securities market outside Canada	<input type="checkbox"/>	<input type="checkbox"/>
Securities issued by the Government of Canada or a province, including their Crown corporations, that are not subject to Canadian legislation	<input type="checkbox"/>	<input type="checkbox"/>
Securities relating to a foreign entity	<input type="checkbox"/>	<input type="checkbox"/>
Foreign derivative financial instrument	<input type="checkbox"/>	<input type="checkbox"/>

E) List the funds or portfolios that have been the subject of securities advising or portfolio management activities in the following table or in an annex:

Name and code of the funds or portfolio	Type of funds (venture capital funds, mutual funds, segregated funds, ETF, etc.)	Value at the end of the relevant period or at the closing date	Percentage of foreign exposure	Investors holding more than 10% of the shares of the funds or portfolio at the end of the period. If there is none, write “none”.