

Tax Measures for International Financial Centres (IFC)
International financial transactions

Securities trade or securities distribution	From: <div style="border: 1px solid black; padding: 2px;">yyyy/mm/dd</div> Specific taxation year or part thereof	To: <div style="border: 1px solid black; padding: 2px;">yyyy/mm/dd</div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div> IFC qualification certificate number
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A) ☐ Check this box if the IFC has carried out securities trading or securities distribution.
 ⓘ A securities broker who has carried out discretionary management activities on behalf of his or her clients must request a certificate to this effect by completing Form 3.

B) Specify the categories of activities carried out:

☐ Outstanding securities trading

☐ Securities distribution

C) Check the sub-categories of activity carried out among the following:

Securities trading carried out as:	Securities distribution made for:
<input type="checkbox"/> An intermediary in negotiation, for an individual not residing in Canada	<input type="checkbox"/> A foreign entity (complete question E)
<input type="checkbox"/> An intermediary in negotiation for an individual residing in Canada, in respect of qualified securities (complete question D)	<input type="checkbox"/> The Government of Canada or a provincial government, or for a Canadian corporation, with an individual not residing in Canada (complete question E)
<input type="checkbox"/> A dealer, in respect of qualified securities (complete question D)	
<input type="checkbox"/> A dealer, in respect of a Canadian bond security	

D) Check the activities related to qualified securities that apply:

☐ Securities issued by a Canadian corporation, if the transaction to acquire these securities was conducted on an organized securities market outside Canada

☐ Securities issued by the Government of Canada or a province, including their Crown corporations, that are not subject to Canadian legislation

☐ Securities relating to a foreign entity

☐ Foreign derivative financial instrument

E) For **securities distribution** activities, briefly describe the main securities investment transactions carried out on behalf of clients in the following table or in an annex:

Name of the client (corporation that mandates the IFC and pays it a fee)	
Location (city and country)	
Description of the securities investment: purpose of the investment, type of security, amount, currency, name and origin of investors, etc.	
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Location (city and country)	
Description of the securities investment: purpose of the investment, type of security, amount, currency, name and origin of investors, etc.	
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